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おなじくものの  
つきをこそみれ

## Many paths, one moon

The future of academic print management in Japan

Constance Malpas  
Program Officer, OCLC Research

28 February 2014  
Keio University Shared Print Forum

## Roadmap

Shared Print:  
Concepts & Themes



A view of Japan in  
the cultural record



Understanding the  
Collective Collection



Future directions

Japan

**LITERARY FORMS**

History	153,229 titles, avg. 12 holdings
Biography	49,459 titles, avg. 9 holdings
<b>Fiction</b>	<b>16,147 titles, avg. 46 holdings</b>

**TOPICS**

International relations	31,626 titles, avg. 16 holdings
<b>World War (1939-1945)</b>	<b>18,984 titles, avg. 22 holdings</b>
Graphic novels	16,565 titles, avg. 20 holdings

**PEOPLE**

<b>高橋, 留美子</b> Takahashi, Rumiko (b.1957)	1,449 titles, 29 avg. holdings
<b>岸本, 斉史</b> Kishimoto, Masashi (b.1974)	753 titles, avg. 63 holdings
<b>Morimoto, Mari</b>	<b>355 titles, avg. 86 holdings</b>

An anime stylized eye. Oni Lukos (2006)

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# Shared Print

a **strategic reconfiguration** of stewardship arrangements to:

- ✓ Maximize collective capacity to preserve, provide access to the past and future cultural/scholarly record
- ✓ Rationalize and redistribute stewardship roles across library system
- ✓ Reduce costs of managing low-use print inventory
- ✓ Improve alignment between library service profile and institutional mission

part of broader shift from institution-scale to **group-scale** solutions

## A few core concepts

- **Retention** statement: an explicit commitment to retain and preserve (*if not conserve*) selected titles or items for designated term
- **Registration**: deliberate action to make shared print collections known and visible, e.g. in union catalogs
- **Validation**: explicit plan to audit condition or completeness of retained titles or items
- **Rightscaling**: optimizing scale of cooperation to maximize benefit



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## Thesis:

The **value** of national and international **shared print** efforts will be measured by our success in **preserving coherence** and **expanding coverage** of the cultural record as it is represented in the **collective collection**.



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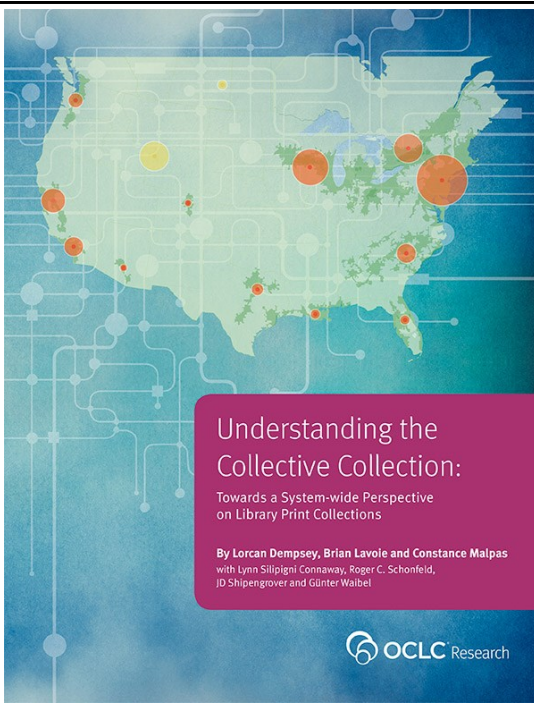
# Implications

Understanding the scope and distribution of **system-wide library resources** is important to 'right-scaling' shared print strategies.

**Cooperative management** requires **deliberate coordination** and a long-range view.



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**Shared evidence base supports informed decision-making**

- Examine preservation risks  
*assess redundancy*
- Assess infrastructure capacity  
*leverage collective investment*
- Apply system-wide perspective  
*sourcing and scaling*
- Build conceptual frameworks, a common vocabulary  
*support community discussion*

Understanding the Collective Collection:  
Towards a System-wide Perspective on Library Print Collections

By Lorcan Dempsey, Brian Lavole and Constance Malpas  
with Lynn Silipigni Connaway, Roger C. Schonfeld,  
JD Shipengrover and Günter Walzel



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## North American Context

- Continued growth of academic print collections  
**1.1 billion volumes in 3,700 libraries**
- Declining use of print formats, compared to e-formats  
Inter-lending **decreased 6%** between 2010 and 2012
- Economic stagnation = limited investment in institution-scale storage infrastructure
- Growing corpus of retrospectively digitized and licensed electronic resources
- Shared digital preservation infrastructure (HathiTrust, **D**igital **P**reservation **N**etwork, **S**Hared **A**ccess **R**esearch **E**cosystem)



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## Context for shared print (US)

- Robust **resource-sharing** (ILL) arrangements  
*Builds confidence, service-level expectations*
- Shared **bibliographic infrastructure**  
*Supports cooperative intelligence, analytics*
- Format migration from print to **digital**  
*Limits disruption of changing print operations*
- Institutional **incentives to 'reconfigure'** library  
*License to innovate*

Duty to preserve scholarly record  
Desire to transform library operating models



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## Global Resources & Shared Print

- Since 1958, federal funding (“Title VI”) allocated to support development of **non-English language programs** and university **research collections**
- National network of Resource Centers (**NRC**) provides **shared infrastructure** for research, teaching and library acquisitions
- 24 NRC support **East Asian studies** (2013)
- Government austerity measures mean continued **future funding is uncertain**
- New strategies needed to ensure **preservation and continued growth** of library resources



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## The Global Dimensions of Scholarship & Research Libraries: A Forum on the Future (2012)

To ensure continued relevance, university libraries supporting global education must:

- energetically **shift toward digital** sources as they continue their support for international scholarship.
- **internationalize** all of their programs and services
- must build **active collaborations**, on an **international as well as national** level

[http://www.crl.edu/sites/default/files/attachments/events/Global\\_Forum\\_Recommendations.pdf](http://www.crl.edu/sites/default/files/attachments/events/Global_Forum_Recommendations.pdf)

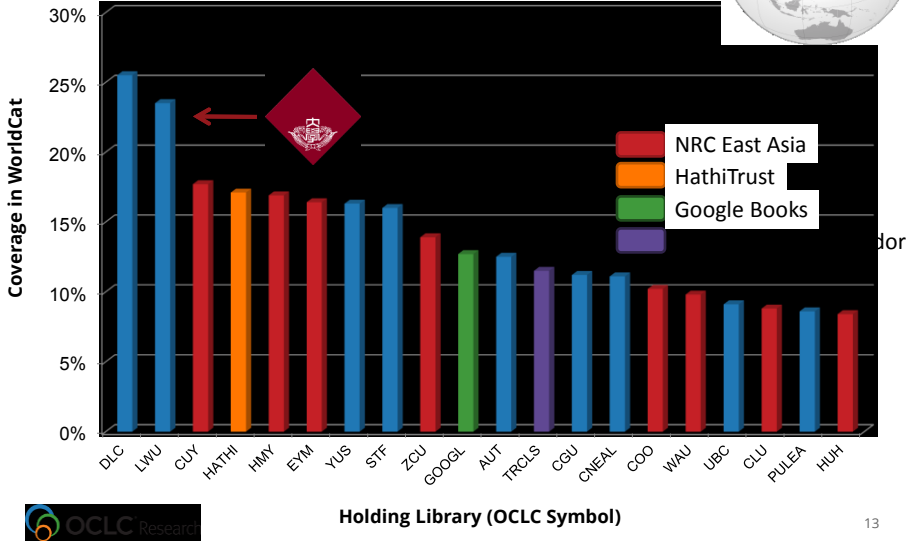


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Top 20 most comprehensive collections related to:

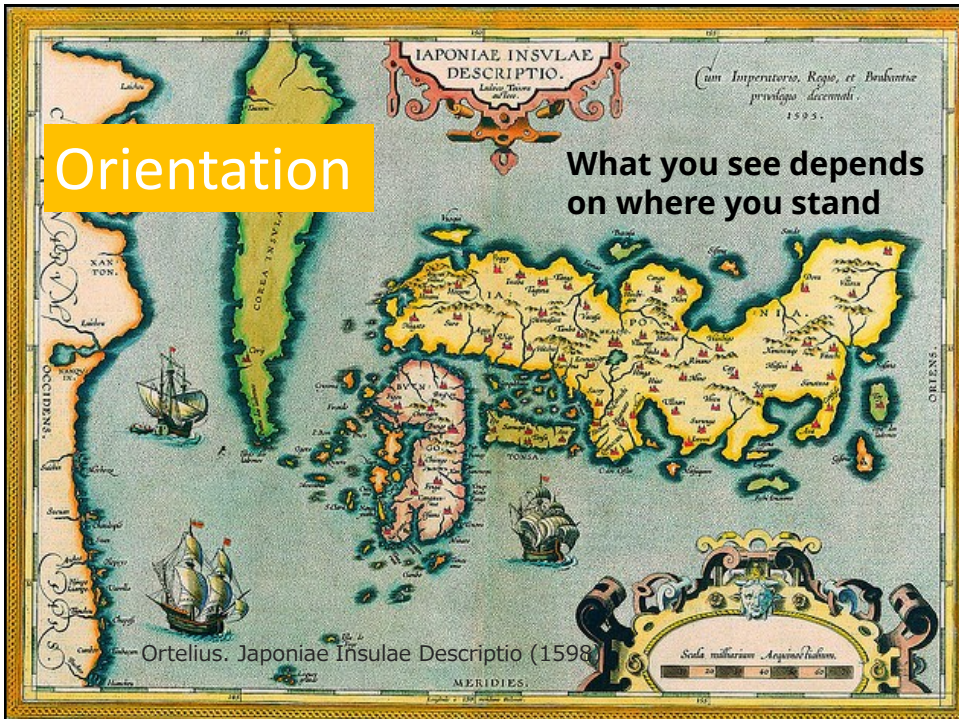
# Japan

Related works in WorldCat = 518,195

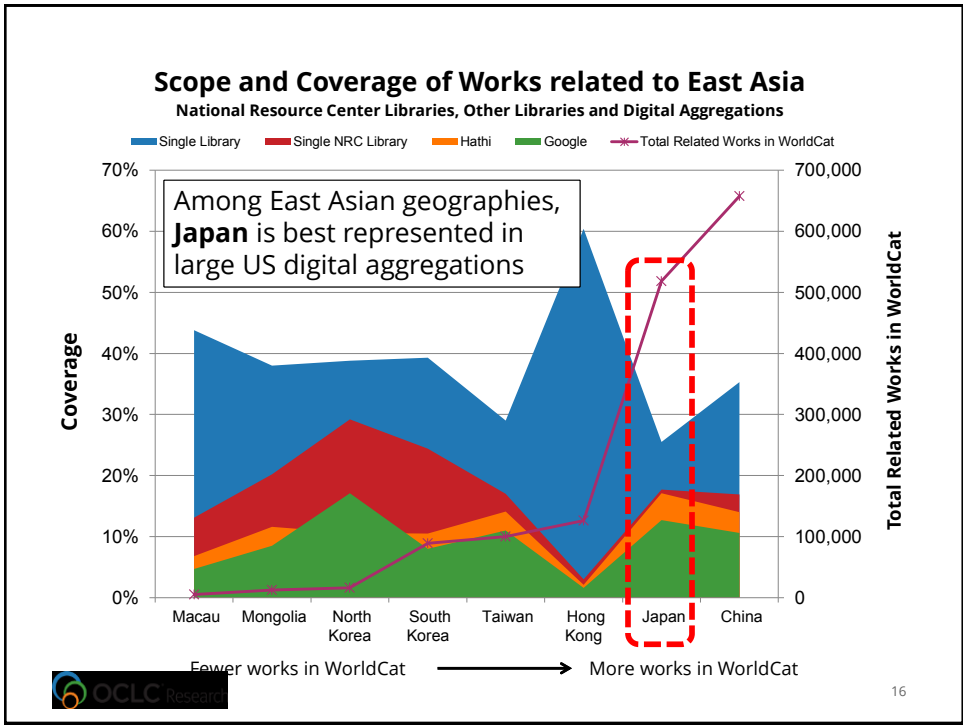
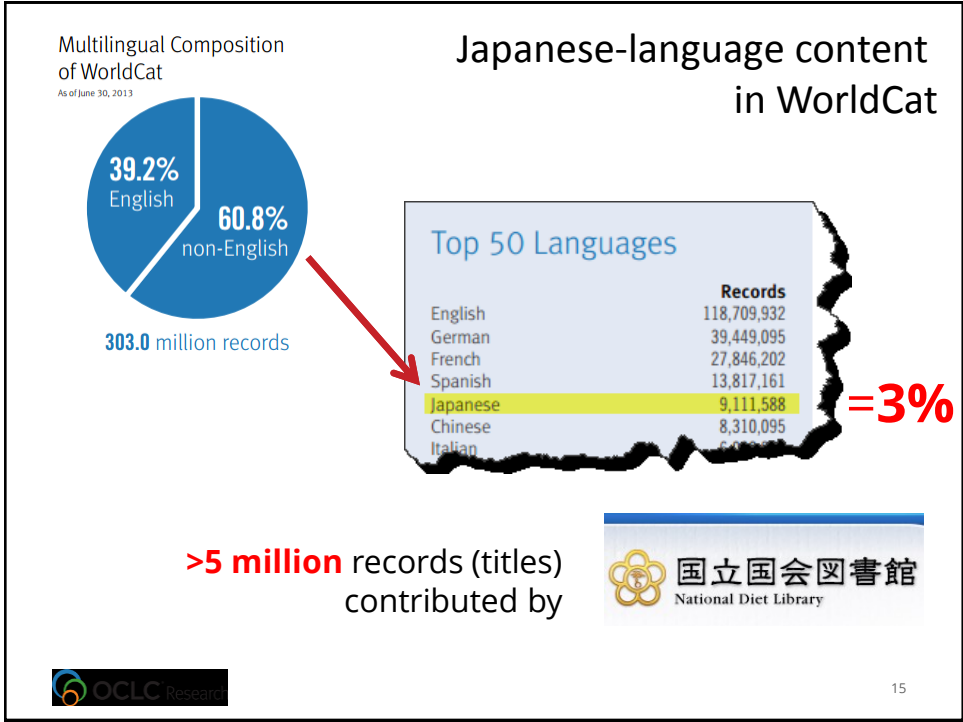


## Orientation

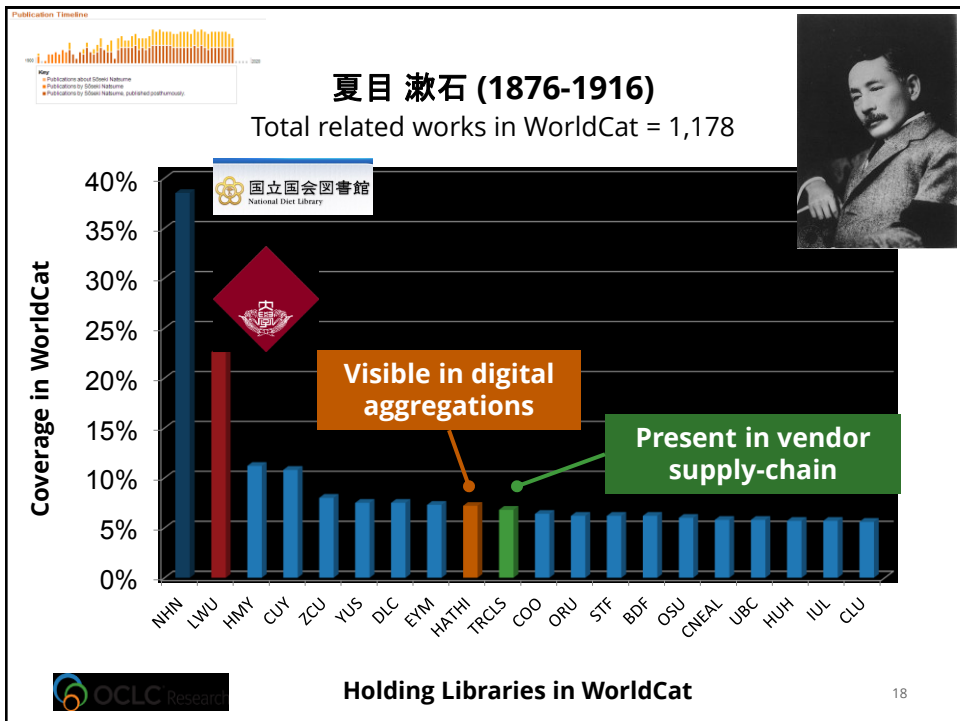
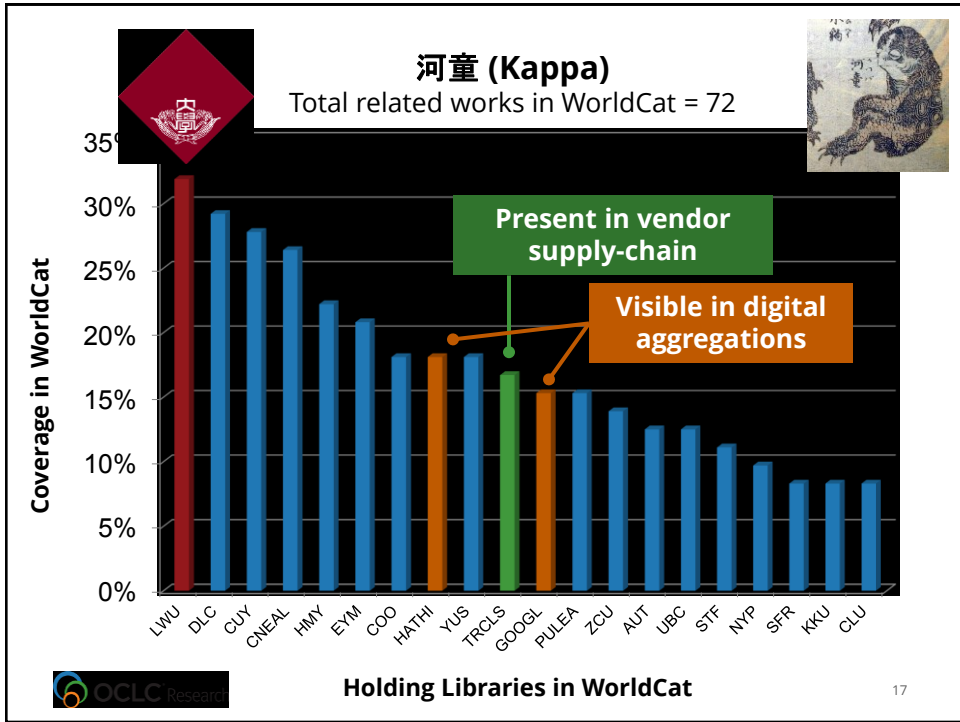
What you see depends on where you stand

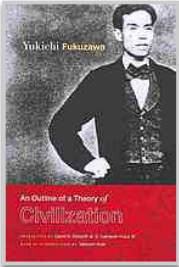















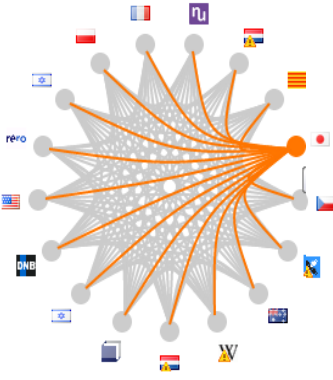


**Fukuzawa, Yukichi, 1835-1901** 

**福沢 諭吉, 1834-1901** 


**Fukuzawa, Yukichi, 1834-1901**   

VIAF ID: 71431899 (Personal)  
 Permalink: <http://viaf.org/viaf/71431899>  
 ISNI-test: [0000\\_0001\\_2138\\_745X](http://orcid.org/0000_0001_2138_745X)



National Diet Library, Japan  
NDL-00269787


## Global presence of Japanese scholarship reflected in international name authority files



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### HathiTrust Updates

Update on January 2014 Activities


February 14, 2014 


[\[Download PDF\]](#)

**Top News**

**Volumes from Keio University**

HathiTrust is pleased to report the **ingest of more than 80,000 volumes from Keio University**. Volumes in the collection can be found here: <http://bit.ly/1gmFNw2>. These materials **dramatically increase HathiTrust's Japanese-language holdings**. Keio University will be providing more information about the materials included in the deposit in coming weeks. The volumes represent the largest deposit in HathiTrust of materials from a non-partner institution.







## Keio University

[View all items](#)

Sort: Relevance


福翁百話 全 [福澤諭吉著]  
 Fukuo hyakuwa zen  
 Published 1897  
[Catalog Record](#) [Full view](#)

Language	Titles	Percent
<a href="#">English</a>	3,065,418	49.37
<a href="#">German</a>	579,173	9.33
<a href="#">French</a>	446,961	7.20
<a href="#">Spanish</a>	279,676	4.50
<a href="#">Chinese</a>	242,076	3.90
<a href="#">Russian</a>	232,482	3.74
<b>Japanese</b>	<b>216,727</b>	<b>3.49</b>
<a href="#">Italian</a>	158,273	2.55



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# Managing Redundancy

Recycling day at Shimane University School of Law. (Deborah Bryan Macartney)

**HATHI TRUST Digital Library**

**OCLC WorldCat® 1 library...**  
 Displaying libraries 1-1 out of 1  
 Library: **UC Berkeley Libraries**  
 Berkeley, CA 94720 United States

**7 libraries...**

**CiNii**  
**兵論**  
 福沢諭吉立案；中上川彦次郎筆記  
 飯田平作 1882.11  
 Available at 7 libraries

**国立国会図書館サーチ**  
**兵論**  
 福沢諭吉著  
 発行年：1882  
 著者：福沢諭吉 著  
 原書種別：漢文、和文、1800-1900  
 出版地(国)：日本  
 出版地：東京  
 出版社：岩波書店  
 出版年：1882

**General Library, University of Tokyo 書庫参考**  
 W30:203 0003139755,0002620151

**OCLC Research**

新図書館

## A "what if" scenario

At least 9 copies of Fukuzawa's Hei-ron (1882)  
in Japanese research libraries

4 copies in Tokyo alone

1 is here (or will be)

- + National Diet Library
- + Keio University
- + Seikei University

University of Tokyo Library Underground Storage construction (OCLC Research)

東京大学 川島研究室 / Kawase lab, the University of Tokyo

東京大学総合図書館 アルゲミックコンモンス計画 (第2期) 地下1階平庫区 (基本設計図)

## What if...

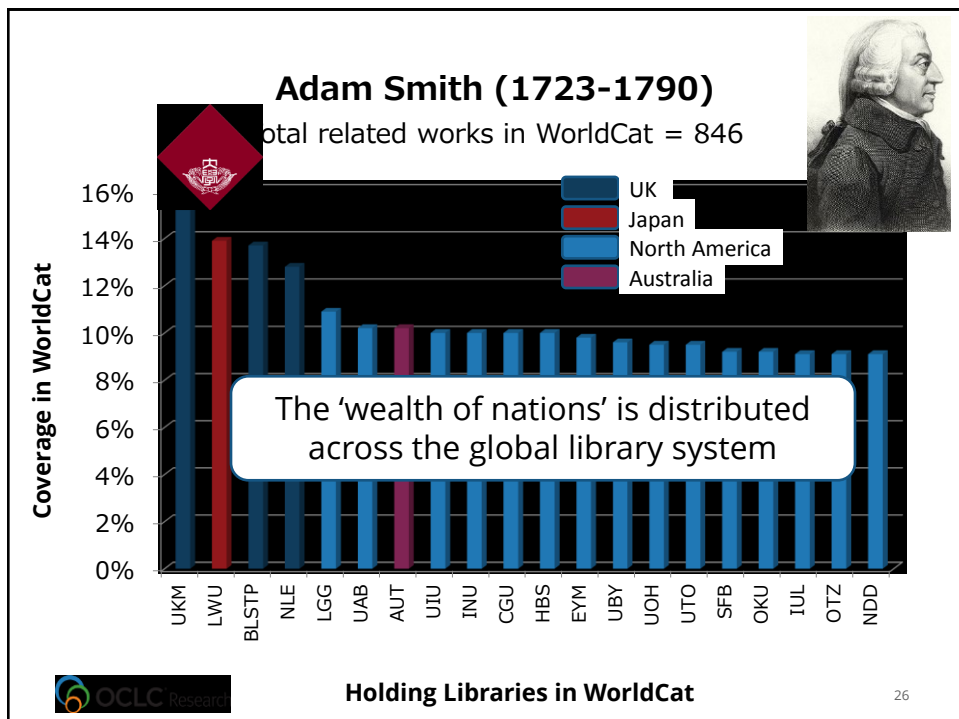
- University of Tokyo's copy was **retained** and **registered** as shared print?
  - ... would other university libraries in Tokyo de-duplicate holdings?
  - ... would other Japanese university libraries de-duplicate holdings?
- Keio University's copy was **retained** and **registered** as shared print?
  - ... would Todai still transfer its copy to new Automated Storage& Retrieval System (ASRS)?

## What if ... (continued)

- Thousands of print titles in RU11 libraries were managed as a **national shared print** collection?
  - ...could Japanese university libraries manage a broader range of scholarly resources?
  - ...would international East Asian libraries (NRC, for example) collect differently?
  - ...might longevity and impact of Japanese scholarship in global network increase?
- **Right-scaling** stewardship of print collections will rely on new forms of library collaboration



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## Thanks for your attention.

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Twitter: @ConstanceM

Understanding the System-wide Library  
<http://oclc.org/research/activities/usl.htm>

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